

**Linking Systems of Care Research and Analysis
COR-NRGAA-2019-0199-CCB**

THIS CONTRACT is entered into by and between the State of Montana, **Montana Department of Corrections** (Department), whose address and phone number are 5 S. Last Chance Gulch, Helena, MT 59601, (406) 444-3930 and **University of Montana, Criminology Research Group**, (Contractor), whose address and phone number are 32 Campus Dr., University Hall, Room 207, Missoula, MT 59812 and (406-243-6670.

1. EFFECTIVE DATE, DURATION, AND RENEWAL

1.1 Contract Term. The Contract's initial term is October 1, 2018 through **March 31, 2021**, unless terminated earlier as provided in this Contract. In no event is this Contract binding on the Department unless the Department's authorized representative has signed it. The legal counsel signature approving legal content of the Contract does not constitute an authorized signature.

2. SERVICES AND/OR SUPPLIES

Contractor shall provide the Department the following:

Contractor shall conduct research and analysis of the Linking Systems of Care (LSOC) project data to be reported to the LSOC Statewide Advisory Group (AG). Items created as a result of the activities listed below are considered Work Product and property of the Department. Activities related to this project shall include:

- a. Assisting in AG meetings;
- b. Maintaining relationships with pilot sites;
- c. Creating policy recommendations;
- d. Creating a policy evaluation tool;
- e. Using pilot site feedback to revise screening and training materials;
- f. Automation of the screening process to manage the data repository;
- g. Technical Assistance for pilot sites;
- h. Internal project evaluation;
- i. Cost/benefit analysis;
- j. Analysis of screening findings;
- k. Analysis of family perspective interviews;
- l. Creation of a plan to validate the screening tool;
- m. Provide input on the Montana Strategic Plan.

3. WARRANTIES

3.1 Warranty of Services. Contractor warrants that the services provided conform to the Contract requirements, including all descriptions, specifications and attachments made a part of this Contract. The Department's acceptance of services provided by Contractor shall not relieve Contractor from its obligations under this warranty. In addition to its other remedies under this Contract, at law, or in equity, the Department may, at Contractor's expense, require prompt correction of any services failing to meet Contractor's warranty herein. Services corrected by Contractor shall be subject to all the provisions of this Contract in the manner and to the same extent as services originally furnished.

4. CONSIDERATION/PAYMENT

4.1 Payment Schedule. In consideration of the services to be provided, the Department shall pay Contractor according to the following schedule:

- A. Department shall pay Contractor **\$415,312.00** for the 30-month period beginning October 1, 2018 and ending on March 31, 2021 for the services described herein. A breakdown of costs may be found in the Budget Narrative document, which is made part of this Contract as Attachment A.
- B. The parties agree that the Contractor's billing shall be submitted monthly to the Crime Control Bureau in a mutually agreed upon format. All payments made under this Contract shall be made only upon submission by Contractor of a properly documented invoice detailing services rendered and amounts due for the preceding month. Payments will be made to Contractor by the 10th of each month. Billing shall be subject to audit and adjustment both before and after the Crime Control Bureau makes payment of any amount under this agreement, as the Crime Control Bureau, in its discretion, determines to be necessary.
- C. The Crime Control Bureau requires Contractor provide detailed financial ledgers for expenses on billing invoices to the Crime Control Bureau (including mileage, per diem, and lodging) according to Federal Grant requirements.
- D. The Contractor shall maintain adequate records to justify dates, times and travel expenses and submit detailed financial ledger with billing invoices as indicated in Section 4.1 C.
- E. If additional equipment is purchased for the use of the grant, it will be purchased by the Crime Control Bureau; will remain the property of the Crime Control Bureau; and will be returned to the Crime Control Bureau upon the completion of the Contract.
- F. The Parties agree to hold meetings to review the progress and other issues pertinent to the Contract as needed. Upon written request of the Contractor, the Crime Control Bureau may also:
 - Reduce the total sum of this agreement; or;
 - Renegotiate the terms of this agreement.
- G. The Contractor is responsible for the safeguarding of any information or files furnished by the Crime Control Bureau and for their return upon Contract completion.

4.2 Reference to Contract. The Contract number MUST appear on all invoices, packing lists, packages, and correspondence pertaining to the Contract. If the number is not provided, the Department is not obligated to pay the invoice.

5. AGENCY ASSISTANCE

To the extent possible, Contractor shall use its own equipment in providing the goods/services set forth in Section 2. However, the Parties recognize that services provided to Department may occur within the confines of a secure correctional facility necessitating the use of Department facilities and equipment including, but not limited to, access to inmate records, work space within a correctional facility, and phone service (e.g., Montana State Prison does not allow cellular or digital phones within the facility).

6. ACCESS AND RETENTION OF RECORDS

6.1 Access to Records. Contractor shall provide the Department, Legislative Auditor, or their authorized agents access to any records necessary to determine Contract compliance. The Department may terminate this Contract under Section 16, without incurring liability, for the Contractor's refusal to allow access as required by this section. (18-1-118, MCA.)

6.2 Retention Period. Contractor shall create and retain all records supporting the services rendered for a period of eight years after either the completion date of this Contract or termination of the Contract.

7. ASSIGNMENT, TRANSFER, AND SUBCONTRACTING

Contractor may not assign, transfer, or subcontract any portion of this Contract without the Department's prior written consent. (18-4-141, MCA) Contractor is responsible to the Department for the acts and omissions of all subcontractors or agents and of persons directly or indirectly employed by such subcontractors, and for the acts and omissions of persons employed directly by Contractor. No contractual relationships exist between any subcontractor and the Department under this Contract.

8. HOLD HARMLESS/INDEMNIFICATION

Each Party agrees to protect, defend, indemnify, and hold harmless the other, their elected and appointed officials, agents and employees from and against all legal, equitable or administrative claims, causes of action, damages, losses and expenses, of any kind or character, including but not limited to attorneys' fees and the costs of defense, arising in favor of the other's employees or third parties on account of bodily injury, sickness, disease, death, personal injury, violation of an offender's constitutional or statutory rights, or to injury to or destruction of tangible property except for such claims, causes of action, damages, losses or expenses which are solely due to the fault or negligence of the Party seeking indemnity.

9. REQUIRED INSURANCE

The State of Montana is self-insured under the provisions of Title 2, Ch. 9, Montana Code Annotated and the Department of Administration will maintain insurance for state agencies as provided under §2-9-101, MCA through §2-9-305, MCA.

10. LICENSURE

Contractor agrees to provide copies of current licenses and certifications that register Contractor and any associates performing under this Contract.

11. COMPLIANCE WITH WORKERS' COMPENSATION ACT

Contractor shall comply with the provisions of the Montana Workers' Compensation Act while performing work for the Department of Corrections in accordance with 39-71-401, 39-71-405, and 39-71-417, MCA. Proof of compliance must be in the form of workers' compensation insurance, an independent contractor's exemption, or documentation of corporate officer status. Neither Contractor nor its employees are Department employees. This insurance/exemption must be valid for the entire Contract term. Upon expiration, a renewal document must be sent to the Department's Contracts Management Bureau, P.O. Box 201301, Helena, MT 59620.

12. COMPLIANCE WITH LAWS

Contractor shall, in performance of work under this Contract, fully comply with all applicable federal, state, or local laws, rules, regulations, and executive orders including but not limited to, the Montana Human Rights Act, the Equal Pay Act of 1963, the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and Section 504 of the Rehabilitation Act of 1973. Contractor is the employer for the purpose of providing healthcare benefits and paying any applicable penalties, fees and taxes under the Patient Protection and Affordable Care Act [P.L. 111-148, 124 Stat. 119]. Contractor will comply with the Prison Rape Elimination Act 42 U.S.C.A. § 15601ff, the Prison Rape Elimination Act final rule 28 CFR Part 115, MDOC Policy 1.3.14, Prison Rape Elimination Act, and ACCD 1.3.1400 PREA to include incident reporting. Contractor shall establish a zero-tolerance policy to incidents of sexual

assault/rape or sexual misconduct. Any subletting or subcontracting by Contractor subjects subcontractors to the same provisions. In accordance with 49-3-207, MCA, and Executive Order No. 04-2016 Contractor agrees that the hiring of persons to perform this Contract will be made on the basis of merit and qualifications and there will be no discrimination based on race, color, sex, pregnancy, childbirth or medical conditions related to pregnancy or childbirth, political or religious affiliation or ideas, culture, creed, social origin or condition, genetic information, sexual orientation, gender identity or expression, national origin, ancestry, age, disability, military service or veteran status, or marital status by the persons performing this Contract.

The Affordable Care Act requires a contractor, if contractor is an applicable large employer under the ACA, to provide healthcare coverage for its employees who provide services for the State and work for 30 or more hours per week. This coverage must also cover the eligible employee's dependents under the age of 26. The coverage must (a) meet the minimum essential coverage, minimum value, and affordability requirements of the employer responsibility provisions under Section 4980H of the Code (ACA), and (b) otherwise satisfy the requirements of the Code § 4980 H (ACA) if provided by the State.

Reporting Requirements. Contractor, if Contractor is an applicable large employer under the ACA, further states that it shall satisfy all reporting requirements under the Code §§ 6055 and 6056 (ACA) with respect to individuals who perform services for the State.

Auditing. The State may audit Contractor's operations to ensure that the Contractor has complied with the statements made above.

13. DISABILITY ACCOMMODATIONS

The Department does not discriminate on the basis of disability in admission to, access to, or operations of its programs, services, or activities. Individuals who need aids, alternative document formats, or services for effective communications or other disability related accommodations in the programs and services offered are invited to make their needs and preferences known to this office. Interested parties should provide as much advance notice as possible.

14. REGISTRATION WITH THE SECRETARY OF STATE

Any business intending to transact business in Montana must register with the Secretary of State. Businesses that are incorporated in another state or country, but which are conducting activity in Montana, must determine whether they are transacting business in Montana in accordance with 35-1-1026 and 35-8-1001, MCA. Such businesses may want to obtain the guidance of their attorney or accountant to determine whether their activity is considered transacting business.

If businesses determine that they are transacting business in Montana, they must register with the Secretary of State and obtain a certificate of authority to demonstrate that they are in good standing in Montana. To obtain registration materials, call the Office of the Secretary of State at (406) 444-3665, or visit their website at <http://sos.mt.gov>.

15. INTELLECTUAL PROPERTY/OWNERSHIP

15.1 Ownership of Work Product. Contractor shall execute any documents or take any other actions as may reasonably be necessary, or as the Department may reasonably request, to perfect the Department's ownership of any Work Product.

15.2 Copy of Work Product. Contractor shall, at no cost to the Department, deliver to the Department, upon the Department's request during the term of this Contract or at its expiration or termination,

a current copy of all Work Product in the form and on the media in use as of the date of the Department's request, or such expiration or termination.

15.3 Ownership of Contractor Pre-Existing Materials. Contractor retains ownership of all literary or other works of authorship (such as software programs and code, documentation, reports, and similar works), information, data, intellectual property, techniques, subroutines, algorithms, methods or related rights and derivatives that Contractor owns at the time this Contract is executed or otherwise developed or acquired independent of this Contract and employed by Contractor in connection with the services provided to the Department (the "Contractor Pre-existing Materials"). Contractor Pre-existing Materials are not Work Product. Contractor shall provide full disclosure of any Contractor Pre-Existing Materials to the Department before its use and to prove its ownership. If, however, Contractor fails to disclose to the Department such Contractor Pre-Existing Materials, Contractor shall grant the Department a nonexclusive, worldwide, paid-up license to use any Contractor Pre-Existing Materials embedded in the Work Product to the extent such Contractor Pre-Existing Materials are necessary for the Department to receive the intended benefit under this Contract. Such license shall remain in effect for so long as such Pre-Existing Materials remain embedded in the Work Product. Except as otherwise provided for in Section 15.1 or as may be expressly agreed in any statement of work, Contractor shall retain title to and ownership of any hardware it provides under this Contract.

16. CONTRACT TERMINATION

16.1 Termination for Cause with Notice to Cure Requirement. The Department may terminate this Contract in whole or in part for Contractor's failure to materially perform any of the services, duties, terms, or conditions contained in this Contract after giving Contractor written notice of the stated failure. The written notice must demand performance of the stated failure within a specified period of time of not less than 30 days. If the demanded performance is not completed within the specified period, the termination is effective at the end of the specified period.

16.2 Termination for Cause with Notice to Cure Requirement. Contractor may terminate this Contract for the Department's failure to perform any of its duties under this Contract after giving the Department written notice of the failure. The written notice must demand performance of the stated failure within a specified period of time of not less than 30 days. If the demanded performance is not completed within the specified period, the termination is effective at the end of the specified period.

16.3 Reduction of Funding. The Department must by law terminate this Contract if funds are not appropriated or otherwise made available to support the Department's continuation of performance of this Contract in a subsequent fiscal period. (18-4-313(4), MCA.) If state or federal government funds are not appropriated or otherwise made available through the Department budgeting process to support continued performance of this Contract (whether at an initial Contract payment level or any Contract increases to that initial level) in subsequent fiscal periods, the Department shall terminate this Contract as required by law. The Department shall provide Contractor the date the Department's termination shall take effect. The Department shall not be liable to Contractor for any payment that would have been payable had the Contract not been terminated under this provision. As stated above, the Department shall be liable to Contractor only for the payment, or prorated portion of that payment, owed to Contractor up to the date the Department's termination takes effect. This is Contractor's sole remedy. The Department shall not be liable to Contractor for any other payments or damages arising from termination under this section, including but not limited to general, special, or consequential damages such as lost profits or revenues.

17. EVENT OF BREACH – REMEDIES

17.1 Event of Breach by Contractor. Any one or more of the following Contractor acts or omissions constitute an event of material breach under this Contract:

- Ⓢ products or services furnished fail to conform to any requirement;

- Ⓢ failure to submit any report required by this Contract;
- Ⓢ failure to perform any of the other terms and conditions of this Contract, including but not limited to beginning work under this Contract without prior Department approval; or
- Ⓢ voluntary or involuntary bankruptcy or receivership.

17.2 Event of Breach by Department. The Department's failure to perform any material terms or conditions of this Contract constitutes an event of breach.

17.3 Actions in Event of Breach.

Upon Contractor's material breach, the Department may:

- Ⓢ terminate this Contract under Section 16.1 and pursue any of its remedies under this Contract, at law, or in equity; or
- Ⓢ treat this Contract as materially breached and pursue any of its remedies under this Contract, at law, or in equity.

Upon the Department's material breach, Contractor may:

- Ⓢ terminate this Contract under Section 16.2 and pursue any of its remedies under this Contract, at law, or in equity; or
- Ⓢ treat this Contract as materially breached and, except as the remedy is limited in this Contract, pursue any of its remedies under this Contract, at law, or in equity.

18. FORCE MAJEURE

Neither Party is responsible for failure to fulfill its obligations due to causes beyond its reasonable control, including without limitation, acts or omissions of government or military authority, acts of God, materials shortages, transportation delays, fires, floods, labor disturbances, riots, wars, terrorist acts, or any other causes, directly or indirectly beyond the reasonable control of the nonperforming Party, so long as such Party uses its best efforts to remedy such failure or delays. A Party affected by a force majeure condition shall provide written notice to the other Party within a reasonable time of the onset of the condition. In no event, however, shall the notice be provided later than five working days after the onset. If the notice is not provided within the five-day period, then a Party may not claim a force majeure event. A force majeure condition suspends a Party's obligations under this Contract, unless the Parties mutually agree that the obligation is excused because of the condition.

19. WAIVER OF BREACH

Either Party's failure to enforce any Contract provisions after any event of breach is not a waiver of its right to enforce the provisions and exercise appropriate remedies if the breach occurs again. Neither Party may assert the defense of waiver in these situations.

20. CONFORMANCE WITH CONTRACT

No alteration of the terms, conditions, delivery, price, quality, quantities, or specifications of the Contract shall be granted without the Department's Contracts Management Bureau's prior written consent. Product or services provided that do not conform to the Contract terms, conditions, and specifications may be rejected and returned at Contractor's expense.

21. LIAISONS AND SERVICE OF NOTICES

21.1 Contract Liaisons. All project management and coordination on the Department's behalf must be through a single point of contact designated as the Department's liaison. Contractor shall designate a liaison

that will provide the single point of contact for management and coordination of Contractor's work. All work performed under this Contract must be coordinated between the Department's liaison and Contractor's liaisons.

Natalia Bowser is the Department's liaison.
5 S. Last Chance Gulch
Helena, MT 59601 Telephone: 406-444-4244, E-mail: nbowser@mt.gov

Jackson Bunch and Jodi Todd are Contractor's programmatic liaisons.
Telephone Jackson Bunch: 406-243-5863, Telephone Jodi Todd: 406-243-6941
E-mail: jackson.bunch@mso.umt.edu, E-mail: Todd-jodi.todd@umt.edu

Terri Coslet is the Contractor's administrative liaison.
Telephone: 406-243-4777
Email: terri.coslet@umontana.edu

Maureen Price is the Contractor's financial liaison.
Telephone: 406-243-4133
Email: maureen.price@umontana.edu

21.2 Notifications. The Department's liaison and Contractor's liaison may be changed by written notice to the other Party. Written notices, requests, or complaints must first be directed to the liaison. Notice may be provided by personal service, mail, or facsimile. If notice is provided by personal service or facsimile, the notice is effective upon receipt; if notice is provided by mail, the notice is effective within three business days of mailing. A signed and dated acknowledgement of the notice is required of both Parties.

22. MEETINGS

22.1 Technical or Contractual Problems. Contractor shall meet with the Department's personnel, or designated representatives, to resolve technical or contractual problems occurring during the Contract term or to discuss the progress made by Contractor and the Department in the performance of their respective obligations, at no additional cost to the Department. The Department may request the meetings as problems arise and will be coordinated by the Department. The Department shall provide Contractor a minimum of three full working days notice of meeting date, time, and location. Face-to-face meetings are desired; however, at Contractor's option and expense, a conference call meeting may be substituted. Contractor's consistent failure to participate in problem resolution meetings, Contractor missing or rescheduling two consecutive meetings, or Contractor's failure to make a good faith effort to resolve problems may result in termination of the Contract.

22.2 Failure to Notify. If Contractor fails to specify in writing any problem or circumstance that materially affects the costs of its delivery of services or products, including a material breach by the Department, about which Contractor knew or reasonably should have known with respect to the period during the term covered by Contractor's status report, Contractor shall not be entitled to rely upon such problem or circumstance as a purported justification for an increase in the price for the agreed upon scope.

22.3 Department's Failure or Delay. For a problem or circumstance identified in Contractor's status report in which Contractor claims was the result of the Department's failure or delay in discharging any Department obligation, the Department shall review same and determine if such problem or circumstance was in fact the result of such failure or delay. If the Department agrees as to the cause of such problem or circumstance, then the Parties shall extend any deadlines or due dates affected thereby and provide for any additional charges by Contractor. This is Contractor's sole remedy. If the Department does not agree as to the

cause of such problem or circumstance, the Parties shall each attempt to resolve the problem or circumstance in a manner satisfactory to both Parties.

23. TRANSITION ASSISTANCE

If this Contract is not renewed at the end of this term, if the Contract is otherwise terminated before project completion, or if particular work on a project is terminated for any reason, Contractor shall provide transition assistance for a reasonable, mutually agreed period of time after the expiration or termination of this Contract or particular work under this Contract. The purpose of this assistance is to allow for the expired or terminated portion of the services to continue without interruption or adverse effect, and to facilitate the orderly transfer of such services to the Department or its designees. The Parties agree that such transition assistance is governed by the terms and conditions of this Contract, except for those terms or conditions that do not reasonably apply to such transition assistance. The Department shall pay Contractor for any resources utilized in performing such transition assistance at the most current Contract rates. If the Department terminates a project or this Contract for cause, then the Department may offset the cost of paying Contractor for the additional resources Contractor utilized in providing transition assistance with any damages the Department may have sustained as a result of Contractor's breach.

24. CHOICE OF LAW AND VENUE

Montana law governs this Contract. The Parties agree that any litigation concerning this bid, proposal, or this Contract must be brought in the First Judicial District in and for the County of Lewis and Clark, State of Montana, and each Party shall pay its own costs and attorney fees.

25. TAX EXEMPTION

The Department of Montana is exempt from Federal Excise Taxes (#81-0302402).

26. AUTHORITY

This Contract is issued under authority of Title 18, Montana Code Annotated, and the Administrative Rules of Montana, Title 2, chapter 5.

27. SEVERABILITY CLAUSE

A declaration by any court or any other binding legal source that any provision of the Contract is illegal and void shall not affect the legality and enforceability of any other provision of the Contract, unless the provisions are mutually and materially dependent.

28. SCOPE, ENTIRE AGREEMENT, AND AMENDMENT

28.1 Contract. This Contract consists of nine (9) numbered pages and any Attachments as required. In the case of dispute or ambiguity arising between or among the documents, the order of precedence of document interpretation is the same.

28.2 Entire Agreement. These documents are the entire agreement of the Parties. They supersede all prior agreements, representations, and understandings. Any amendment or modification must be in a written agreement signed by the Parties.

29. WAIVER. The Department's waiver of any Contractor obligation or responsibility in a specific situation is not a waiver in a future similar situation or is not a waiver of any other Contractor obligation or responsibility.

30. EXECUTION

The Parties through their authorized agents have executed this Contract on the dates set out below.

DEPARTMENT OF MONTANA

**Montana Department of Corrections
5 S. Last Chance Gulch
Helena, MT 59601**

**University of Montana Criminology Research
Group
32 Campus Dr.
University Hall, Room 207
Missoula, MT 59812**

DocuSigned by:
John Daugherty
8D9E11292D4F437

John Daugherty, Director
Administrative Services Division

DocuSigned by:
Judy Friedenber
95503068CF35421

Judy Friedenber, Assistant Vice President for
Research and Federal Relations

DATE: 3/7/2019

DATE: 3/6/2019

Approved as to Legal Content:

DocuSigned by:
Lorraine Schneider
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Legal Counsel

3/5/2019
(Date)

