CONTRACT AMENDMENT
CONTRACT 15-034-CSD

THIS CONTRACT AMENDMENT (**Amendment #2**) is made and entered into by and between the Montana Department of Corrections (DEPARTMENT) 5 S. Last Chance Gulch, Helena, Montana 59620-1301 and **Global MDPC** (CONTRACTOR) 4 East Ogden Ave. #302, Westmont, IL 60559 and is effective upon receipt of all signatures.

WHEREAS, the parties have entered a Contract with an original effective date of February 11, 2015 and Section 21 provides that the parties may modify their agreement in writing; and

WHEREAS, the Contract expires on January 31, 2017 and Section 5 provides that the parties may extend the expiration date of the Contract.

NOW THEREFORE, the parties agree to amend the Contract as follows **(new language underlined, old language interlined)**:

2. DUTIES/RESPONSIBILITIES OF CONTRACTOR

CONTRACTOR agrees to provide the following services:

2.1 CONTRACTOR agrees to provide the following services for inmates of the Montana Department of Corrections:

A. Professional Psychiatric staff to:

i. Provide DEPARTMENT with ~~up to twenty (20) hours~~ a minimum of fifty-two (52) hours per week of psychiatric services, as clinically indicated and constitutionally mandated in accordance with established National Commission on Correctional Healthcare (NCCHC) Mental Health Care Standards. The precise schedule and hours provided to DEPARTMENT each week are subject to mutual agreement between the parties.

ii. Evaluate, prescribe and monitor inmate response to psychotropic medications as clinically indicated for Montana Department of Corrections (DOC) and DOC affiliated contract facility inmates. ~~located at the Montana State Prison.~~ As referenced in DOC Policies 4.5.2 Responsible Health Authority, 4.5.25 Pharmaceuticals, and all other applicable policies identified by DEPARTMENT staff, psychiatric staff will follow applicable DOC guidelines when providing services to inmates. Psychiatric staff will utilize formulary medications or submit a clinical justification for non-formulary medications.

iii. Provide consultation to medical and psychiatric staff at ~~Montana State Prison (MSP)~~ all DOC facilities and DOC affiliated contract facilities. Additional services may include medication and chart review, as well as telemedicine evaluation and treatment of identified inmates.

iv. Conduct Psychiatric evaluations as clinically indicated through referral by the Clinical Services Division, and assist when necessary, with inmate screening for admission to the Montana State Hospital, located in Warm Springs, Montana.

Coordinate treatment services with the medical contractor in cases where inmate is being provided with both psychiatric and medical care.

B. Submit monthly reports to the DEPARTMENT contract liaison which identify types of services provided and at which site the services took place. "Direct service hours" will include time spent in direct patient care. Upon request, CONTRACTOR will track and report time spent in direct patient care.

C. Create and maintain patient and administrative records, using such forms, checklists, questionnaires, and other practice and administrative tools as are mutually agreeable between the parties.

2.2 To assist CONTRACTOR in the delivery of services to DOC offenders, DEPARTMENT will provide the following:

A. Training to CONTRACTOR on DOC policy and procedure.

B. Training to CONTRACTOR regarding Prison Rape Elimination Act (PREA) policy requirements.

B. Provide storage space for clinical records and access to such records by CONTRACTOR in connection with the provision of services.

C. As requested by CONTRACTOR, assistance to CONTRACTOR in working with DOC IT staff to develop access to the internet and sites necessary for CONTRACTOR to complete job tasks.

3. COMPENSATION/BILLING

DEPARTMENT shall compensate CONTRACTOR for successful delivery of services (or goods), provided pursuant to Section 2, in the following manner:

A. DEPARTMENT shall pay CONTRACTOR **$160.00 per hour~~, for up to 20 hours weekly~~** for Psychiatrist services, not to exceed five hundred eighty-two thousand four hundred and 00/100 Dollars **($582,400.00)** ~~one hundred sixty six thousand four hundred and 00/100 Dollars ($166,400.00)~~ **per year,** for the services described herein. Additional weekly hours for Psychiatric services may be approved by the Clinical Services Administrator or designee providing total payment does not exceed the contract maximum noted above.

B. This rate is inclusive of all travel and per diem. DEPARTMENT will not compensate CONTRACTOR for travel or travel time, lodging, meals, supplies, or any other expense incurred by CONTRACTOR while performing services identified within this Contract.

C. DEPARTMENT agrees to pay CONTRACTOR within thirty (30) days following receipt of a correct invoice.

D. DEPARTMENT may withhold payments to CONTRACTOR if CONTRACTOR has not performed in accordance with the terms of this Contract.

E. The Contract number must be referenced on all invoices and correspondence pertaining to this Contract.

6. **LIAISONS AND NOTICE**

A. ~~Jamie Eads, Montana State Prison, (406-846-1320 x2514), 500 Conley Lake Road, Deer Lodge, MT 59722~~ Tricia Ayers-Weiss, (406)-444-1547, 5 S. Last Chance Gulch, Helena, MT 59601 or successor serves as DEPARTMENT liaison.

B.-D. No changes.

15. **COMPLIANCE WITH LAWS**

CONTRACTOR must, in performance of work under the Contract, fully comply with all applicable federal, state, or local laws, rules and regulations, including the Montana Human Rights Act, the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990 and ADA Amendments Act of 2008, and Section 504 of the Rehabilitation Act of 1973. Contractor is the employer for the purpose of providing healthcare benefits and paying any applicable penalties, fees and taxes under the Patient Protection and Affordable Care Act [P.l. 111-148, 124 Stat. 119]. CONTRACTOR will comply with the Prison Rape Elimination Act 42 U.S.C.A. § 15601ff, the Prison Rape Elimination Act final rule 28 CFR Part 115, MDOC Policy 1.3.14, Prison Rape Elimination Act, and ACCD 1.3.1400 PREA to include incident reporting. CONTRACTOR shall establish a zero tolerance policy to incidents of sexual assault/rape or sexual misconduct. Any subletting or subcontracting by CONTRACTOR subjects subcontractors to the same provision. In accordance with section 49-3-207, MCA, CONTRACTOR agrees that the hiring of persons to perform the Contract will be made on the basis of merit and qualifications and there will be no discrimination based upon race, color, religion, creed, political ideas, sex, age, marital status, physical or mental disability, or national origin by persons performing the Contract.

This constitutes the Amendment to the Contract. All other provisions contained in the original Contract, as amended, shall remain unchanged.

DEPARTMENT

Connie Winner

Connie Winner, Administrator
Clinical Services Division

7·24·16
Date

CONTRACTOR

Janice Walton MD

Contractor

7/31/16.
8/2/16
Date

Reviewed for Legal Content by: Colleen Cumberose

Legal Counsel
Department of Corrections

7-21-16
Date

CONTRACT AMENDMENT
CONTRACT 15-034-CSD

THIS CONTRACT AMENDMENT (**Amendment #1**) is made and entered into by and between the Montana Department of Corrections (DEPARTMENT) 5 S. Last Chance Gulch, Helena, Montana 59620-1301 and **Global MDPC** (CONTRACTOR) 4 East Ogden Ave. #302, Westmont, IL 60559 and is effective upon receipt of all signatures.

WHEREAS, the parties have entered a Contract with an original effective date of February 11, 2015 and Section 21 provides that the parties may modify their agreement in writing; and

WHEREAS, the Contract expires on January 31, 2016 and Section 5 provides that the parties may extend the expiration date of the Contract.

NOW THEREFORE, the parties agree to amend the Contract as follows (**new language underlined, old language interlined**):

5. TIME OF PERFORMANCE

This Contract shall take effect upon final contract signature. This Contract shall expire on **January 31, ~~2016~~ 2017,** unless either party provide a written notice of cancelation at least 30 days prior. In the event neither party provides a written notice of cancelation 30 days prior to January 31, 2016, this Contract shall continue on a month-to-month basis until such time as the parties mutually agree to an annual renewal of the contract or either party provides a written 30 day notice of cancellation.

In no event may this Contract continue or be renewed for a period exceeding a total of **seven (7) years.**

6. LIAISONS AND NOTICE

A. ~~Winnie Strainer, (406-444-1503), 5 S. Last Chance Gulch, Helena, MT 59601~~ Jamie Eads, Montana State Prison, (406-846-1320 x2514), 500 Conley Lake Road, Deer Lodge, MT 59722 or successor serves as DEPARTMENT liaison.

B.-D. No changes.

This constitutes the Amendment to the Contract. All other provisions contained in the original Contract, as amended, shall remain unchanged.

SIGNATURE

DEPARTMENT

Connie Winner

Connie Winner, Administrator
Clinical Services Division

1/21/16
Date

CONTRACTOR

Dr. Andrew Kowalkowski, MD

2/3/16
Date

Dr. James Nielsen, MD, FRCPC

2/5/2016
Date

Approved for Legal Content by:

Legal Counsel
Department of Corrections

1-14-16
Date

1. PARTIES

The Montana Department of Corrections (DEPARTMENT) and **Global MD, PC (CONTRACTOR)** enter into this Contract **(15-034-CSD)**. The parties' names, addresses, and telephone numbers are as follows:

Montana Department of Corrections
Clinical Services Division
5 S. Last Chance Gulch
PO Box 201301
Helena, MT 59620-1301
(406) 444-3930

Global MD, PC
4 East Ogden Ave. #302
Westmont, IL 60559
(630)-632-2632

DEPARTMENT AND CONTRACTOR, AS PARTIES TO THIS CONTRACT AND FOR THE CONSIDERATION SET FORTH BELOW, AGREE AS FOLLOWS:

2. DUTIES/RESPONSIBILITIES OF CONTRACTOR

CONTRACTOR agrees to provide the following services:

2.1 CONTRACTOR agrees to provide the following services for inmates of the Montana Department of Corrections:

A. Professional Psychiatric staff to:

i. Provide DEPARTMENT with up to twenty (20) hours per week of psychiatric services, as clinically indicated and constitutionally mandated in accordance with established National Commission on Correctional Healthcare (NCCHC) Mental Health Care Standards. The precise schedule and hours provided to DEPARTMENT each week are subject to mutual agreement between the parties.

ii. Evaluate, prescribe and monitor inmate response to psychotropic medications as clinically indicated for inmates located at the Montana State Prison. As referenced in DOC Policies 4.5.2 Responsible Health Authority, 4.5.25 Pharmaceuticals, and all other applicable policies identified by DEPARTMENT staff, psychiatric staff will follow applicable DOC guidelines when providing services to inmates. Psychiatric staff will utilize formulary medications or submit a clinical justification for non-formulary medications.

iii. Provide consultation to medical and psychiatric staff at Montana State Prison (MSP). Additional services may include medication and chart review, as well as telemedicine evaluation and treatment of identified inmates.

iv. Conduct Psychiatric evaluations as clinically indicated through referral by the Clinical Services Division, and assist when necessary, with inmate screening for admission to the Montana State Hospital, located in Warm Springs, Montana. Coordinate treatment services with the medical contractor in cases where inmate is being provided with both psychiatric and medical care.

B. Submit monthly reports to the DEPARTMENT contract liaison which identify types of services provided and at which site the services took place. "Direct service hours" will

include time spent in direct patient care. Upon request, CONTRACTOR will track and report time spent in direct patient care.

C. Create and maintain patient and administrative records, using such forms, checklists, questionnaires, and other practice and administrative tools as are mutually agreeable between the parties.

2.2 To assist CONTRACTOR in the delivery of services to DOC offenders, DEPARTMENT will provide the following:

A. Training to CONTRACTOR on DOC policy and procedure.

B. Provide storage space for clinical records and access to such records by CONTRACTOR in connection with the provision of services.

C. As requested by CONTRACTOR, assistance to CONTRACTOR in working with DOC IT staff to develop access to the internet and sites necessary for CONTRACTOR to complete job tasks.

3. COMPENSATION/BILLING

DEPARTMENT shall compensate CONTRACTOR for successful delivery of services (or goods), provided pursuant to Section 2, in the following manner:

A. DEPARTMENT shall pay CONTRACTOR **$160.00 per hour, for up to 20 hours weekly** for Psychiatrist services, not to exceed one hundred sixty six thousand four hundred and 00/100 Dollars **($166,400.00) per year,** for the services described herein. Additional weekly hours for Psychiatric services may be approved by the Clinical Services Administrator or designee providing total payment does not exceed the contract maximum noted above.

B. This rate is inclusive of all travel and per diem. DEPARTMENT will not compensate CONTRACTOR for travel or travel time, lodging, meals, supplies, or any other expense incurred by CONTRACTOR while performing services identified within this Contract.

C. DEPARTMENT agrees to pay CONTRACTOR within thirty (30) days following receipt of a correct invoice.

D. DEPARTMENT may withhold payments to CONTRACTOR if CONTRACTOR has not performed in accordance with the terms of this Contract.

E. The Contract number must be referenced on all invoices and correspondence pertaining to this Contract.

4. AGENCY ASSISTANCE

To the extent possible, CONTRACTOR shall use its own facilities and equipment in providing the services set forth in Section 2. However, the parties recognize that services provided to DEPARTMENT may occur within the confines of a secure correctional facility necessitating the use of DEPARTMENT facilities and equipment including, but not limited to, access to inmate records, work space within a correctional facility, and telephone service (e.g., Montana State Prison and Montana Women's Prison do not allow wireless phones within facility).

5. TIME OF PERFORMANCE

This Contract shall take effect upon final contract signature. This Contract shall expire on **January 31, 2016,** unless either party provide a written notice of cancelation at least 30 days prior. In the event neither party provides a written notice of cancelation 30 days prior to January 31, 2016, this Contract shall continue on a month-to-month basis until such time as the parties mutually agree to an annual renewal of the contract or either party provides a written 30 day notice of cancellation.

In no event may this Contract continue or be renewed for a period exceeding a total of **seven (7) years.**

6. LIAISONS AND NOTICE

A. Winnie Strainer, (406-444-1503), 5 S. Last Chance Gulch, Helena, MT 59601 or successor serves as DEPARTMENT liaison.

B. Dr. Andrew Kowalkowski, MD, (630-632-2632), 4 East Ogden Ave. #302, Westmont IL, 60559, or successor serves as CONTRACTOR'S liaison.

C. Dr. James Nielsen, MD, FRCPC, (630-632-2632), 4 East Ogden Ave. #302, Westmont IL, 60559, or successor serves as CONTRACTOR'S liaison.

D. All notices and invoices required in this Contract shall be in writing, properly addressed to the liaison in (A) and (B) above, mailed first-class, postage prepaid. All notices sent via U.S. Postal Service are deemed effective on the date of postmark. Notices and invoices mailed through another carrier (e.g., UPS or FedEx) are effective upon receipt.

7. OWNERSHIP AND PUBLICATION OF MATERIALS

All materials CONTRACTOR develops or utilizes (i.e., reports, spreadsheets, etc.) in performing the services set forth in Section 2 above shall be the sole property of DEPARTMENT.

8. COMPLIANCE WITH WORKERS' COMPENSATION ACT

Neither CONTRACTOR nor its employees are employees of the State. In accordance with sections 39-71-120, 39-71-401, and 39-71-405, MCA, Contractors are required to comply with the provisions of the Montana Workers' Compensation Act while performing work for the State of Montana. CONTRACTOR shall provide proof of compliance in the form of workers' compensation insurance, an independent contractor exemption, or documentation of corporate officer status and maintain such insurance, exemption, or corporate officer status for the duration of the contract. CONTRACTOR shall submit a copy of all renewals of expired insurance and exemptions to: Department of Corrections, Contracts Management Bureau, Attn: Contracts Manager, PO Box 201301, Helena, MT 59620-1301.

9. HOLD HARMLESS AND INDEMNIFICATION

CONTRACTOR agrees to protect, defend, indemnify, and hold harmless the DEPARTMENT, its elected and appointed officials, agents and employees from and against all legal, equitable or administrative claims, causes of action, damages, losses and expenses, of any kind or character, including but not limited to attorneys' fees and the costs of defense, arising in favor of CONTRACTOR'S employees or third parties on account of bodily injury, sickness, disease, death, personal injury, violation of an offender's constitutional or statutory rights, or to injury to or destruction

of tangible property except for such claims, causes of action, damages, losses or expenses which are solely due to the fault or negligence of the party seeking indemnity.

10. INSURANCE

A. **General Requirements:** CONTRACTOR shall maintain for the duration of the Contract, at its cost and expense, insurance against claims for injuries to persons or damages to property, including contractual liability, which may arise from or in connection with the performance of the work by CONTRACTOR, agents, employees, representatives, assigns, or subcontractors. This insurance shall cover such claims as may be caused by any negligent act or omission.

Primary Insurance: CONTRACTOR'S insurance coverage shall be primary insurance as respect to the State, its officers, officials, employees, and volunteers and shall apply separately to each project or location. Any insurance or self-insurance maintained by the State, its officers, officials, employees or volunteers shall be in excess of CONTRACTOR'S insurance and shall not contribute with it.

Deductibles and Self-Insured Retentions: Any deductible or self-insured retention must be declared to and approved by DEPARTMENT. At the request of DEPARTMENT either: (1) the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the State, its officers, officials, employees, and volunteers; or (2) CONTRACTOR shall procure a bond guaranteeing payment of losses and related investigations, claims administration, and defense expenses.

Certificate of Insurance/Endorsements: A certificate of insurance from an insurer with a Best's rating of no less than A- indicating compliance with the required coverages must be received by the Contracts Manager, PO Box 201301, Helena, MT 59620-1301 prior to start of work under this Contract. CONTRACTOR must immediately notify DEPARTMENT of any material change in insurance coverage, such as changes in limits, coverages, policy status, etc. DEPARTMENT reserves the right to require complete copies of insurance policies at all times.

B. **Specific Requirements for Automobile Liability:** CONTRACTOR shall purchase and maintain personal liability coverage in accordance with Montana Statute 61-6-103 MCA.

C. **Specific Requirements for Professional Liability:** CONTRACTOR shall purchase and maintain occurrence coverage with combined single limits for each wrongful act of $500,000 per occurrence and $1,000,000 aggregate per year to cover such claims as may be caused by any act, omission, negligence of CONTRACTOR or its officers, agents, representatives, assigns or subcontractors. Note: if "occurrence" coverage is unavailable or cost prohibitive, CONTRACTOR may provide "claims made" coverage provided the following conditions are met: (1) the commencement date of the Contract must not fall outside the effective date of insurance coverage and it will be the retroactive date for insurance coverage in future years; and (2) the claims made policy must have a three year tail for claims that are made (filed) after the cancellation or expiration date of the policy.

11. ACCESS AND RETENTION OF RECORDS

CONTRACTOR agrees to provide DEPARTMENT, the Legislative Auditor, or their authorized agents with access to any records necessary to determine Contract compliance (Ref. 18-1-118, MCA). CONTRACTOR agrees to create and retain all records supporting the services rendered and/or supplies

delivered for a period of three years after either the completion date of this Contract or the conclusion of any claim, litigation, or exception relating to this Contract taken by the State of Montana or a third party.

12. PUBLIC INFORMATION

CONTRACTOR recognizes that this Contract may be subject to public inspection pursuant to Article 2, § 9 of the Montana Constitution. DEPARTMENT has a limited ability to assert a privacy interest in the subject matter of the Contract particularly with respect to information which is in the nature of a "trade secret" as the phrase is defined in federal law. In any event, CONTRACTOR agrees to hold DEPARTMENT harmless from any injury caused, in whole or in part, by the review of this agreement by an entity authorized to do so pursuant to Article 2, § 9 of the Montana Constitution.

13. ASSIGNMENT, TRANSFER AND SUBCONTRACTING

CONTRACTOR shall not assign, sell, transfer, subcontract or sublet rights, or delegate duties under this Contract, in whole or in part, without the prior written approval of DEPARTMENT. No such written approval shall relieve CONTRACTOR of any obligation of this Contract and any transferee or subcontractor shall be considered the agent of CONTRACTOR. CONTRACTOR shall remain liable as between the original parties to the Contract as if no such assignment had occurred.

14. AMENDMENTS

All amendments to this Contract shall be in writing and signed by the parties.

15. COMPLIANCE WITH LAWS

CONTRACTOR must, in performance of work under the Contract, fully comply with all applicable federal, state, or local laws, rules and regulations, including the Montana Human Rights Act, the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990 and ADA Amendments Act of 2008, and Section 504 of the Rehabilitation Act of 1973. Any subletting or subcontracting by CONTRACTOR subjects subcontractors to the same provision. In accordance with section 49-3-207, MCA, CONTRACTOR agrees that the hiring of persons to perform the Contract will be made on the basis of merit and qualifications and there will be no discrimination based upon race, color, religion, creed, political ideas, sex, age, marital status, physical or mental disability, or national origin by persons performing the Contract.

16. MEETINGS

CONTRACTOR is required to meet with DEPARTMENT liaison, or designated representatives, to resolve contractual problems that may occur during the term of the Contract or to discuss the progress made by CONTRACTOR and DEPARTMENT in the performance of their respective obligations, at no additional cost to DEPARTMENT. Meetings will occur as problems arise and will be coordinated by DEPARTMENT. CONTRACTOR will be given a minimum of three full working days notice of meeting date, time, and location. Face-to-face meetings are desired. However, at CONTRACTOR'S option and expense, a conference call meeting may be substituted. Consistent failure to participate in problem resolution meetings, two consecutive missed or rescheduled meetings, or to make a good faith effort to resolve problems, may result in termination.

17. CONTRACTOR PERFORMANCE EVALUATION

During the term of this Contract, DEPARTMENT may evaluate CONTRACTOR'S performance. Prior to completion of the evaluation, CONTRACTOR will be given an opportunity to review the evaluation and provide additional information and/or clarification. CONTRACTOR will also be asked to sign the evaluation document to acknowledge receipt of the document and an opportunity to respond. This Contract may be terminated by DEPARTMENT as a result of said evaluation and documented non-performance. CONTRACTOR Performance Evaluations may be considered in future solicitations and contracts.

18. TERMINATION AND DEFAULT

A. DEPARTMENT may, by written notice to CONTRACTOR, terminate this Contract in whole or in part at any time CONTRACTOR fails to perform as required in this Contract.

B. Either party may terminate this Contract without cause by providing written notice to the other as described in this paragraph. The party desiring to terminate the Contract shall provide written notice to the other, which notice will establish a termination date not less than 30 days from the date of such notice. The termination of this Contract shall not limit any party's pursuit of remedies provided in this Contract or otherwise available under the laws of the State of Montana.

C. DEPARTMENT, at its sole discretion, may, without 30 days notice, terminate or reduce the scope of this Contract if available funding is reduced for any reason. If funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the contract must be canceled. (Ref. 18-4-313(4)), MCA.

D. Failure on the part of either party to perform the provisions of this Contract constitutes default. Default may result in pursuit of a remedy for breach of Contract including, but not limited to, monetary damages or specific performance.

19. CHOICE OF LAW AND VENUE

The laws of Montana govern this Contract. The parties agree that any mediation, arbitration or litigation concerning this Contract must be brought in the First Judicial District in and for the County of Lewis and Clark, State of Montana, and each party shall pay its own costs and attorney fees (Ref. 18-1-401, MCA).

20. LICENSURE

CONTRACTOR agrees to provide copies of current licenses and certifications that register CONTRACTOR and any associates performing under this Contract.

21. INTEGRATION

This Contract contains the entire agreement between the parties and no statement, promises, or inducements made by either party or agents thereof, which are not contained in the written Contract, shall be binding or valid. This Contract shall not be enlarged, modified, or altered except upon written agreement signed by all parties to the Contract.

22. **SEVERABILITY**

A declaration by any court, or any other binding legal source, that any provision of this Contract is illegal and void shall not affect the legality and enforceability of any other provision of this Contract, unless the provisions are mutually dependent.

23. **COMPLETED CONTRACT**

DEPARTMENT cannot disburse any payments under this Contract until a fully executed original Contract is returned to the Department of Corrections, Contracts Management Bureau, PO Box 201301, Helena, MT 59620-1301.

SIGNATURE

DEPARTMENT

Connie Winner

Connie Winner, Administrator
Clinical Services Division

1/16/15
Date

CONTRACTOR

Dr. Andrew Kowalkowski, MD

2/11/2015
Date

Dr. James Nielsen, MD, FRCPC

2/4/15
Date

Approved for Legal Content by:

Legal Counsel
Department of Corrections

1-16-15
Date